STATE OF CALIFORNIA Department of Insurance 45 Fremont Street, 24th Floor San Francisco, CA 94105

Checklist for Requests to Transfer Shares of an Underwritten Title Company

<u>Instructions</u>: Please complete and <u>submit</u> the following checklist with your request. As you complete this Checklist, place a check in the box next to the item number to indicate that the required information has been attached or is otherwise included in the application.

- If items are attached to this application, please number the attachment corresponding to the number of the item below and provide a separate list of attachments.
- If the item is not applicable, please state "not applicable" in the space provided.
- If information or documentation is requested but not provided, please reference and explain in a separate attachment.

This checklist is intended as an aid only and has been provided to assist you in completing this application. It is not intended and presented as an exhaustive list of requirements and applicants must still comply with all appropriate regulations and statutes. Further, pursuant to California Insurance Code Sections 839 and 839.1, the Commissioner has discretion in applying the legal standards of review in considering the application.

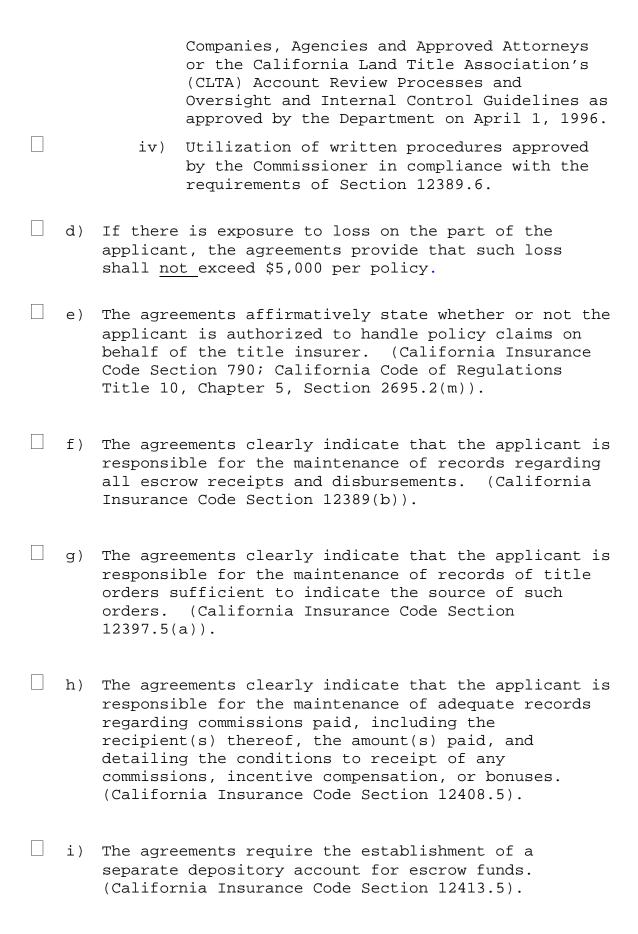
Please respond to these statements by checking the appropriate boxes. The undersigned affirms that this application includes or addresses the following items:

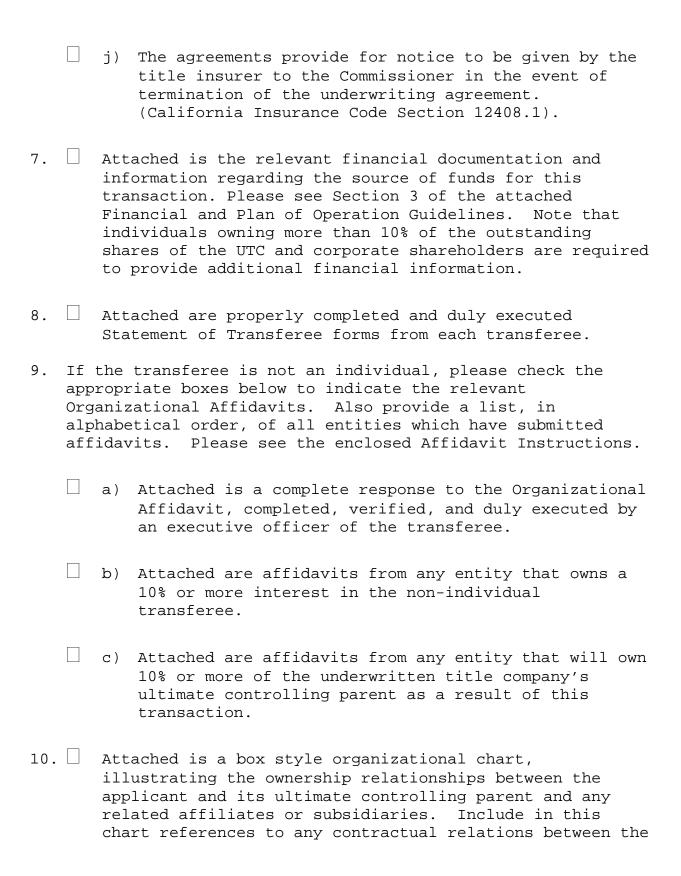
60-Day Deemer Qualifications:

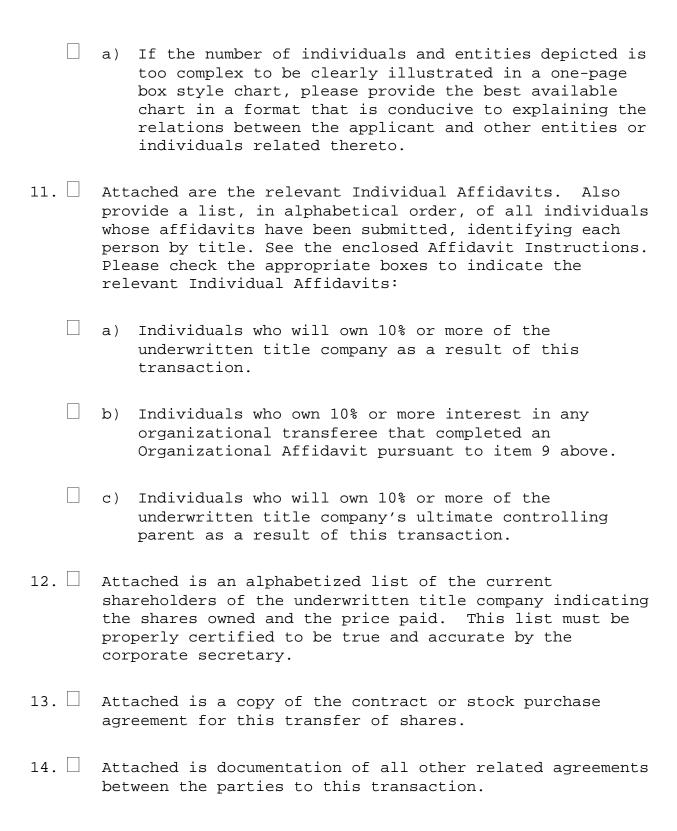
- 1. The transfer of shares represents less than 10% of the outstanding stock of the company and the applicant believes that it qualifies for 60-day review of its application pursuant to Cal. Ins. Code Section 12389.3.
- 2. Is the transferee:

		a)	The underwritten title company that issued the shares; or,		
		b)	An existing shareholder of the underwritten title company that issued the shares; or,		
		c)	An employee of the underwritten title company for the preceding 12 months; or,		
		d)	Other. Explain:		
3.		This transaction does not result in a transferee, other than the existing underwritten title company, owning 10% or more of the outstanding shares.			
4.	The	request contains:			
1.	1110	request contains.			
		a)	The number and issue date of the Securities Permit under which the shares were originally issued.		
		b)	The identity of the transferor(s).		
		c)	The identity of the transferee(s).		
		d)	The number of shares, plus the class (if any) and par value (if any) of those shares, that will be transferred to each transferee.		
		e)	The price per share (cash or other consideration) to be paid by each transferee. If consideration is not cash, please provide an explanation as to the nature of the consideration.		
		f)	The reason for the transfer of shares.		

5.	If the applicant's plan of operation will change with this transfer of shares:					
		operat	ed is an updated and verified plan of ion. See Section 1 of the attached Financial an of Operation Guidelines.			
6.		If this transfer will result in a transferee owning 10% or more of the UTC's outstanding shares, the applicant has attached complete and duly executed copies of all existing (or unsigned copies of all proposed) underwriting agreements with any admitted title insurers under which the applicant intends to transact business.				
		marked-up or caption	pond to the statements below and attach a copy of the underwriting agreements, using tabs s to indicate where the following required are located within the agreements.			
		_	reements cover all of the counties of ure, including the counties to be added.			
			reements do not allow for the placement of any upon the applicant.			
		for on	applicant does escrow, the agreements provide e or more of the following provisions. Please the appropriate boxes below:			
		i)	A fidelity bond or insurance policy in conformity with the requirements of Section 12389.6.			
		ii	A review of the escrow disbursements by a title insurer employee in compliance with the requirements of Section 12389.6.			
		ii:	i) An account review process in compliance with the requirements of Section 12389.6 or utilization of either the American Land Title Association's (ALTA) Escrow Internal Control Guidelines for Title Insurance			







sign on behalf of the applicant compa	_
	Signature
	Title
I affirm that the statements made about that the enclosed application address information and documentation request	ses or includes the
	Signature
	Date

Rev. 12/11